

# FIBREWISE CONSTRUCTION APPLIED TO LUSTERNIK–SCHNIRELMANN CATEGORY

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ABSTRACT

In this paper a variant of Lusternik–Schnirelmann category is presented which is denoted by  $Qcat(X)$ . It is obtained by applying a base-point free version of  $Q = \Omega^\infty \Sigma^\infty$  fibrewise to the Ganea fibrations. We prove  $cat(X) \geq Qcat(X) \geq \sigma cat(X)$  where  $\sigma cat(X)$  denotes Y. Rudyak's strict category weight. However,  $Qcat(X)$  approximates  $cat(X)$  better, because, e.g., in the case of a rational space  $Qcat(X) = cat(X)$  and  $\sigma cat(X)$  equals the Toomer invariant.

We show that  $Qcat(X \times Y) \leq Qcat(X) + Qcat(Y)$ . The invariant  $Qcat$  is designed to measure the failure of the formula  $cat(X \times S^r) = cat(X) + 1$ . In fact for 2-cell complexes  $Qcat(X) < cat(X) \Leftrightarrow cat(X \times S^r) = cat(X)$  for some  $r \geq 1$ .

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We note that the paper is written in the more general context of a functor  $\lambda$  from the category of spaces to itself satisfying certain conditions;  $\lambda = Q, \Omega^n \Sigma^n, Sp^\infty$  or  $L_f$  are just particular cases.

**0. Introduction**

Let  $\mathcal{S}$  (resp.  $\mathcal{S}_*$ ) be the category of simplicial sets (resp. pointed simplicial sets); we will also denote convenient categories of spaces by these symbols. The base point of  $X \in \mathcal{S}_*$  is always denoted by  $* \in X$ .

**0.1. FIBREWISE APPLICATION OF FUNCTORS AND LUSTERNIK–SCHNIRELMANN CATEGORY.** Let  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  (or  $\mathcal{S}_* \rightarrow \mathcal{S}_*$ ) be a functor together with a natural transformation  $\iota_\lambda: \text{id} \rightarrow \lambda$  as coaugmentation. If  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  is a coaugmented functor and  $X \in \mathcal{S}_*$ , then  $\lambda(X)$  is canonically pointed by  $* \rightarrow X \rightarrow \lambda(X)$ , thus  $\lambda$  defines a functor  $\lambda': \mathcal{S}_* \rightarrow \mathcal{S}_*$ . Throughout this work we suppose that:

- the map  $* \rightarrow \lambda(*)$  coming from the coaugmentation is a weak equivalence;
- $\lambda$  preserves weak equivalences.

Such a  $\lambda$  is called a **regular coaugmented functor**.

For any  $f \in \mathcal{S}$  there exists a functorial decomposition  $f = p_f \circ j_f$  such that  $j_f$  is a cofibration and a weak equivalence and  $p_f$  a fibration. We fix such a construction and by definition call  $p_f$  the **fibration associated** to  $f$ . For any point  $x$  in the target of  $f$  the fibre of  $p_f$  over  $x$  is called **the homotopy fibre of  $f$  over  $x$** . If  $f \in \mathcal{S}_*$  the **homotopy fibre of  $f$**  indicates the homotopy fibre over  $*$ .

By [DF96] (see Appendix A) a regular coaugmented functor  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  admits an extension to a functor  $\bar{\lambda}$  from the category of spaces over a space to itself such that there are natural transformations

$$\begin{array}{ccccc}
 E & \xrightarrow{\iota_{\bar{\lambda}}(E)} & \bar{\lambda}(E) & \xrightarrow{r_{\bar{\lambda}}(E)} & \lambda(E) \\
 p \downarrow & & \bar{\lambda}(p) \downarrow & & \downarrow \lambda(p) \\
 B & \xlongequal{\quad} & B & \xrightarrow{\quad} & \lambda(B)
 \end{array}$$

over  $id_B$  and  $\iota_\lambda(B)$  respectively. Moreover, for  $p: E \rightarrow B$ , the homotopy fibre of  $\bar{\lambda}(E) \rightarrow B$  over a point  $x$  is naturally equivalent to  $\lambda(F)$ , where  $F$  is the homotopy fibre of  $p$  over  $x$ . We remark that the previous consideration about pointed versions for maps in the image of  $\lambda$  works also with  $\bar{\lambda}$ .

Applying this construction to the Ganea fibrations we obtain variants of Lusternik–Schnirelmann category. First recall **the Ganea construction** for a map  $\pi: E \rightarrow B$  in  $\mathcal{S}_*$ .

*Definition 1:* Let  $q_0: G_0(E, \pi) \rightarrow B$  be the fibration associated to  $\pi$  and suppose the fibrations  $q_i: G_i(E, \pi) \rightarrow B$  have been constructed for  $i \leq k - 1$ . Then we define  $q'_k: G_{k-1}(E, \pi) \cup C(F_{k-1}) \rightarrow B$  by  $q'_k|_{G_{k-1}(E, \pi)} := q_{k-1}$  and  $q'_k|_{C(F_{k-1})} = *$  where  $C(F_{k-1})$  is the cone on the fibre  $F_{k-1}$  of  $q_{k-1}$ . Let  $q_k: G_k(E, \pi) \rightarrow B$  be the fibration associated to  $q'_k$ . In the particular case  $\pi = (* \rightarrow B)$  we write  $q_k: G_k(B) \rightarrow B$ .

We apply now the fibrewise construction to these fibrations (the dashed arrows correspond to homotopy sections or liftings that are described below):

$$\begin{array}{ccccc}
 F_n(E, \pi) & \xrightarrow{\iota_\lambda(F_n(E, \pi))} & \lambda(F_n(E, \pi)) & \longrightarrow & F_n^\lambda(E, \pi) \\
 \downarrow i_n & & \downarrow & & \downarrow \\
 G_n(E, \pi) & \xrightarrow{\iota_\lambda(G_n(E, \pi))} & \bar{\lambda}(G_n(E, \pi)) & \xrightarrow{r_\lambda(G_n(E, \pi))} & \lambda(G_n(E, \pi)) \\
 \downarrow q_n & \nearrow \tau & \downarrow \bar{\lambda}(q_n) & \nearrow \sigma & \downarrow \lambda(q_n) \\
 B & \xlongequal{\quad} & B & \xrightarrow{\iota_\lambda(B)} & \lambda(B) \\
 & & & & \nearrow s \\
 & & & & \lambda(G_n(E, \pi)) \xrightarrow{\quad} \lambda(B) \\
 & & & & \nearrow \rho
 \end{array}$$

where  $F_n^\lambda(E, \pi)$  is the homotopy fibre of  $\lambda(q_n)$ . In such a diagram we may consider the existence of a homotopy section  $\tau$  of  $q_n$ , a homotopy section  $\sigma$  of  $\bar{\lambda}(q_n)$ , a homotopy lifting  $s$  of  $\iota_\lambda(B)$  through  $\lambda(q_n)$  or a homotopy section  $\rho$  of  $\lambda(q_n)$ . The existence of  $\tau$  is the Ganea definition of the *normalized LS-category* of  $\pi$ ,  $cat_G(\pi)$ , being less than or equal to  $n$ . For the others we set:

*Definition 2:* Let  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  be a regular coaugmented functor and  $\pi: E \rightarrow B$  in  $\mathcal{S}_*$ . Then:

- **the Ganea  $\lambda$ -category of  $\pi$** ,  $\lambda cat_G(\pi)$ , is the least integer  $n$  (or  $\infty$ ) such that  $\bar{\lambda}(q_n)$  admits a section  $\sigma$  up to pointed homotopy;
- **the Ganea  $\lambda_b$ -category of  $\pi$** ,  $\lambda_b cat_G(\pi)$ , is the least integer  $n$  (or  $\infty$ ) such that there exists  $s: B \rightarrow \lambda(G_n(E, \pi))$  satisfying  $\lambda(q_n) \circ s \simeq \iota_\lambda(B)$ ;
- **the Toomer  $\lambda$ -invariant of  $\pi$** ,  $e_\lambda(\pi)$ , is the least integer  $n$  (or  $\infty$ ) such that  $\lambda(q_n)$  admits a section  $\rho$  up to pointed homotopy.

In the particular case  $\pi = (* \rightarrow B)$  we write  $\lambda cat(B) := \lambda cat_G(* \rightarrow B)$ ,  $\lambda_b cat(B) := \lambda_b cat_G(* \rightarrow B)$  and  $e_\lambda(B) = e_\lambda(* \rightarrow B)$ .

As we will see below, this presentation unifies the following approximations of the Lusternik–Schnirelmann category:

- $Mcat$  of a rational space [HL88] is a special case of  $\lambda cat_G$  [SS99],
- the strict category weight [Rud99], [Str00], [Van00] fits into the setting of  $\lambda_b cat_G$ ,
- the Toomer invariant introduced in [Too74] is equal to  $e_M$  for  $M$  the abelian group completion of  $\lambda = Sp^\infty$ , cf. Example 2.

If  $\tau$  is a section of  $q_n$  we get a section of  $\bar{\lambda}(q_n)$  by

$$\sigma := \iota_{\bar{\lambda}}(G_n(E, \pi)) \circ \tau.$$

In the same way, if  $\sigma$  is a homotopy section of  $\bar{\lambda}(q_n)$  the composite

$$s := r_{\bar{\lambda}}(G_n(E, \pi)) \circ \sigma$$

is a lifting up to homotopy of  $\iota_\lambda(B)$  through  $\lambda(q_n)$ . That is:

$$cat_G(\pi) \geq \lambda cat_G(\pi) \geq \lambda_b cat_G(\pi).$$

With an extra hypothesis the existence of a lifting up to homotopy  $s$  implies the existence of a homotopical section of  $\lambda(q_n)$  (see the end of Section 1):

**PROPOSITION 1:** *Suppose that  $\lambda$  is a regular coaugmented functor equipped with a natural transformation  $\lambda^2 = \lambda \circ \lambda \rightarrow \lambda$  whose composition with  $\lambda(\iota_\lambda)$  is equal to the identity  $\lambda \rightarrow \lambda^2 \rightarrow \lambda$ . Let  $s: B \rightarrow \lambda(G_n(E, \pi))$  such that  $\lambda(q_n) \circ s \simeq \iota_\lambda(B)$ . Then there exists a homotopical section  $\rho: \lambda(B) \rightarrow \lambda(G_n(E, \pi))$  of  $\lambda(q_n)$  and we have  $\lambda_b cat_G(\pi) = e_\lambda(\pi)$ .*

This applies in particular if  $\lambda$  together with the coaugmentation and the transformation  $\lambda^2 \rightarrow \lambda$  constitutes a triple (see Section 2).

In Definition 2 the subscript  $G$  is chosen to make a distinction from another notion of category of a map due to Fox [Fox41], Berstein and Ganea [BG62] (see also Section 7 of [Jam78]) which admits also variants with a fibrewise construction.

**0.2. UNPOINTED VERSION OF POINTED FUNCTORS.** In the fibrewise construction  $\bar{\lambda}$  associated to a functor  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  the basepoint free situation is essential and we first meet the problem that the examples of functors that we have in mind, such as the infinite symmetric product, need a basepoint. Therefore for any regular coaugmented functor  $\mu: \mathcal{S}_* \rightarrow \mathcal{S}_*$  we define a canonical functor  $\mu^+: \mathcal{S} \rightarrow \mathcal{S}$  called **basepoint free functor associated to  $\mu$** :

For  $Y \in \mathcal{S}$  we denote by  $Y+ \in \mathcal{S}_*$  the space  $Y$  with an extra point added and considered as the basepoint. Let  $* \rightarrow *+ \rightarrow \mu(*+)$  be the map obtained from the canonical inclusion and the coaugmentation. Denote by  $*_\mu \rightarrow \mu(*+)$

the **fibration associated to the composition**  $* \rightarrow \mu(*+)$ . The functor  $Y \mapsto \mu^+(Y)$  is defined by the following pullback:

$$\begin{array}{ccc} \mu^+(Y) & \longrightarrow & \mu(Y+) \\ \downarrow & & \downarrow \\ *_{\mu} & \longrightarrow & \mu(*+) \end{array}$$

By naturality the composite  $Y \rightarrow Y+ \rightarrow \mu(Y+) \rightarrow \mu(*+)$  factorizes as  $Y \rightarrow * \rightarrow \mu(*+)$  and we get a coaugmentation  $Y \rightarrow \mu^+(Y)$  from the universal property of pullbacks. Note that  $\mu^+(Y)$  is **naturally equivalent to the homotopy fibre of  $\mu(Y+) \rightarrow \mu(*+)$  over  $* \in \mu(*+)$** .

We will say that a coaugmented functor  $\mu: \mathcal{S}_* \rightarrow \mathcal{S}_*$  has a **basepoint free version** if there exists a coaugmented functor  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  and a natural transformation between  $\lambda'$  and  $\mu$  compatible with the coaugmentations and which is a weak equivalence for any  $X \in \mathcal{S}_*$ . Sometimes, as in Proposition 4 below,  $\mu^+$  is a basepoint free version of  $\mu$ .

Let  $\Sigma$  (resp.  $\Omega$ ) be the reduced suspension (resp. the loop space) in  $\mathcal{S}_*$ . In this paper we are mainly concerned with the functors  $M = Sp^\infty$ ,  $\Omega^n \Sigma^n$ ,  $Q = \lim_{\rightarrow} \Omega^n \Sigma^n$  and their basepoint free functors  $M^+$ ,  $P^n = (\Omega^n \Sigma^n)^+$ ,  $Q^+$ . We also consider the localization functor  $L_f$  [DF96]. The functors  $M$  and  $Q$  are particular cases of a more general construction, the infinite delooping associated to any  $\underline{S}$ -algebra [Ada78], [EKMM97].

We will see that  $M^+$  (resp.  $Q^+$ ) is a basepoint free version of  $M$  (resp.  $Q$ ). For  $\Omega^n \Sigma^n$  the situation is more complicated: we construct a basepoint free version  $Q^n: \mathcal{S} \rightarrow \mathcal{S}$  which is not homotopically equivalent to  $P^n = (\Omega^n \Sigma^n)^+$ . We have a general comparison theorem between all these invariants:

**THEOREM 1:** *Let  $\pi: E \rightarrow B$  in  $\mathcal{S}_*$  and  $n \geq m$ . Then we have the following series of inequalities:*

$$\begin{aligned} cat_G(\pi) &\geq Q^m cat_G(\pi) \geq Q^n cat_G(\pi) \geq P^n cat_G(\pi) \\ &\geq Q cat_G(\pi) \geq M cat_G(\pi) \geq e_M(\pi). \end{aligned}$$

The proof will be given after Example 3 of Section 2.

For rational spaces all the invariants of Theorem 1, except the Toomer invariant, coincide. In fact, in this case, examples for the strict inequality  $Mcat(B) > e_M(B)$  can be found in [Fél89, Théorème 12.4.1]. In the last section we will give examples of spaces which show that all the inequalities can be strict

except possibly  $Q^n \text{cat}_G \geq P^n \text{cat}_G$ . The inequalities in Theorem 1 result from the existence of natural transformations between the related functors.

The functions  $P^n \text{cat}_G$  and  $Q \text{cat}_G$  can be compared with stabilized variants of Lusternik–Schnirelmann category studied in [Rud99], [Str00], [Van98], [Van00].

*Definition 3:* Given  $\pi: E \rightarrow B$  in  $\mathcal{S}_*$ , let  $\sigma^i \text{cat}_G(\pi)$  be the least integer  $n$  (or  $\infty$ ) such that  $\Sigma^i G_n(E, \pi) \rightarrow \Sigma^i B$  admits a right homotopy inverse. For simplicity we shall write  $\sigma \text{cat}_G$  for  $\sigma^\infty \text{cat}_G$ .

From the adjunction formula between  $\Omega^i$  and  $\Sigma^i$  it follows that  $\sigma^i \text{cat}_G(\pi) = Q^i \text{cat}_G(\pi)$  and therefore, as a particular case of the inequality  $\lambda \text{cat}_G(\pi) \geq \lambda_b \text{cat}_G(\pi)$  from above, we obtain:

**PROPOSITION 2:** *Let  $(\pi: E \rightarrow B) \in \mathcal{S}_*$ . Then one has  $Q \text{cat}_G(\pi) \geq \sigma \text{cat}_G(\pi)$  and  $Q^i \text{cat}_G(\pi) \geq \sigma^i \text{cat}_G(\pi)$ .*

**0.3. THE INVARIANTS AND CARTESIAN PRODUCTS.** It was a question of Ganea [Gan71] called **the Ganea conjecture** whether the equality  $\text{cat}(Y \times S^r) = \text{cat}(Y) + 1$  holds for  $Y$  connected and  $r \geq 1$ . By a result of N. Iwase [Iwa98] this is not always true. It is true, however, that  $\sigma \text{cat}(Y \times S^r) = \sigma \text{cat}(Y) + 1$  by [Rud99], [Van00]. For rational simply connected spaces  $Y, Z$  of finite type over the rationals the general formula  $\text{cat}(Y \times Z) = \text{cat}(Y) + \text{cat}(Z)$  holds [FHL98] (cf. [Jes90] and [Hes91] for  $Z = S^r$ ).

About our invariants, in particular about  $Q \text{cat}$ , we can state the following:

**THEOREM 2:** *Let  $Y, Z \in \mathcal{S}_*$ . Then for  $\lambda = Q, P^n, Q^n, M$  or for  $\lambda$  a localization functor  $L_f$  we have*

$$\lambda \text{cat}(Y \times Z) \leq \lambda \text{cat}(Y) + \lambda \text{cat}(Z).$$

Moreover, the corresponding inequality holds also for  $\lambda_b \text{cat}$ .

*Remark:* The equality  $Q \text{cat}(X \times S^r) = Q \text{cat}(X) + 1$  is true if  $Q \text{cat}(X) = \sigma \text{cat}(X)$ . For then

$$Q \text{cat}(X \times S^r) \leq Q \text{cat}(X) + 1 = \sigma \text{cat}(X) + 1 = \sigma \text{cat}(X \times S^r)$$

and, by Proposition 2,

$$Q \text{cat}(X \times S^r) \geq \sigma \text{cat}(X \times S^r) = Q \text{cat}(X) + 1.$$

0.4. HOPF INVARIANTS. Finally we introduce the notion of Hopf invariants adapted to our situation and prove that they determine if  $\lambda cat$  grows when attaching a cell. We also apply them to find examples where the invariants  $cat$ ,  $Q^n cat$ ,  $Qcat$ ,  $Mcat$ ,  $\sigma cat$  are different.

Recall that the counter-examples of Iwase [Iwa98] to the Ganea conjecture are complexes  $X = Y \cup_{\varphi} e^p$  such that the Hopf invariant of  $\varphi$  is not zero but some suspension of it is zero. This phenomenon is, by the definition of  $Qcat$ , ruled out for the corresponding Hopf invariant. Therefore we may state:

**PROBLEM 1:** *Does  $Qcat$  satisfy the analogue of the Ganea conjecture, i.e., for  $X$  connected and  $r \geq 1$  does  $Qcat(X \times S^r) = Qcat(X) + 1$  hold?*

Indeed, L. Vandembroucq [Van01] answered the question in the positive for finite complexes  $X$ .

It follows that for finite connected CW-complexes  $X$  with  $Qcat(X) = cat(X)$  the Ganea conjecture holds for  $X$ . We would like to conjecture that the reverse implication is also true:

**PROBLEM 2:** *Let  $X$  be a finite connected CW-complex. If  $Qcat(X) < cat(X)$  then there exists  $r \geq 1$  such that  $cat(X \times S^r) = cat(X)$ .*

In our application of Hopf invariants we verify this for 2-cell complexes. We also construct an infinite CW-complex  $X$  such that

$$Qcat(X) < cat(X) \quad \text{and} \quad cat(X \times S^r) = cat(X) + 1 \quad \text{for any } r \geq 1.$$

We may remark also that this example allows a variation of Problem 2 as: *Let  $X$  be a connected CW-complex. Then  $Q^r cat(X) < cat(X)$  if, and only if,*

$$cat(X \times S^r) = cat(X).$$

We mention finally that, under some restrictions on dimension and connectivity, a mapping version of Problem 2 is proved for rational spaces in [Sta98].

The paper is organized as follows. In Section 1 we recall some more properties of Dror Farjoun's fibrewise application of regular functors. We also study the basepoint free functor associated to a coaugmented functor  $\mathcal{S}_* \rightarrow \mathcal{S}_*$  and prove Proposition 1. In Section 2 we discuss the case of the functors  $Q$ , the abelian group completion  $M$  of  $Sp^{\infty}$  and  $\Omega^n \Sigma^n$ . In fact we defer the topological construction of a basepoint free version of  $\Omega^n \Sigma^n$  to Appendix B. In Section 3 we prove Theorem 2 and in Section 4 we present the theory of Hopf invariants for  $\lambda cat$  and  $\lambda_b cat$ .

**1. Fibrewise application of functors**

1.1. CONSEQUENCES OF DROR FARJOUN’S CONSTRUCTION. Let  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  be a regular coaugmented functor. Let  $\pi: E \rightarrow B$  be a fibration and let  $E \rightarrow \overline{\lambda}(E)$  over  $id_B$  be the construction of Dror Farjoun referred to in the introduction. For the convenience of the reader we will describe it in Appendix A. Directly from it we deduce:

PROPERTY 1: *Let  $\pi: E \rightarrow B$  be a fibration with  $B$  connected. Let  $\lambda_1, \lambda_2: \mathcal{S} \rightarrow \mathcal{S}$  be two regular coaugmented functors and  $\mathcal{L}: \lambda_1 \rightarrow \lambda_2$  be a natural transformation compatible with the coaugmentations. Then  $\mathcal{L}$  induces a natural transformation over  $B$ ,  $\overline{\mathcal{L}}: \overline{\lambda}_1 \rightarrow \overline{\lambda}_2$ . As a consequence we have*

$$\lambda_1 cat_G(\pi) \geq \lambda_2 cat_G(\pi).$$

Moreover, if  $\mathcal{L}(Y)$  is a weak equivalence for any  $Y \in \mathcal{S}$  then  $\overline{\mathcal{L}}(E)$  is a weak equivalence for any  $E$  and

$$\lambda_1 cat_G(\pi) = \lambda_2 cat_G(\pi).$$

1.2. BASEPOINT FREE VERSION OF  $\mu: \mathcal{S}_* \rightarrow \mathcal{S}_*$ . We now study the relation between  $\mu$  and the basepoint free functor  $\mu^+: \mathcal{S} \rightarrow \mathcal{S}$  defined in the introduction. The following two properties are immediate:

PROPERTY 2: *Let  $\mu_1, \mu_2: \mathcal{S}_* \rightarrow \mathcal{S}_*$  be two regular coaugmented functors. Let  $\mathcal{L}: \mu_1 \rightarrow \mu_2$  be a natural transformation compatible with the coaugmentations. Then  $\mathcal{L}$  induces a natural transformation  $\mathcal{L}^+: \mu_1^+ \rightarrow \mu_2^+$ . Moreover, if  $\mathcal{L}(X)$  is a weak equivalence for any  $X \in \mathcal{S}_*$  then  $\mathcal{L}^+(Y)$  is a weak equivalence for any  $Y \in \mathcal{S}$ .*

In the particular case of the functor  $\mu^+: \mathcal{S} \rightarrow \mathcal{S}$ , Proposition 7 of Appendix A implies:

PROPERTY 3: *Let  $\mu: \mathcal{S}_* \rightarrow \mathcal{S}_*$  be a regular coaugmented functor and  $\mu^+: \mathcal{S} \rightarrow \mathcal{S}$  the associated basepoint free functor. Let  $\pi: E \rightarrow B$  in  $\mathcal{S}_*$  be a fibration with fibre  $F$ . Then the homotopy fibre of  $\overline{\mu^+}(E) \rightarrow B$  is equivalent to the homotopy fibre of  $\mu(F+) \rightarrow \mu(*+)$  over  $*$ .*

If we start from a basepoint free coaugmented functor  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$ , we may compare  $\lambda$  with the associated free construction of the associated functor  $\lambda': \mathcal{S}_* \rightarrow \mathcal{S}_*$ :

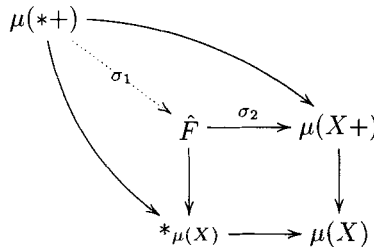


**PROPOSITION 3:** *Let  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  be a regular coaugmented functor. Then there exists a natural transformation  $\lambda \rightarrow (\lambda')^+$  compatible with the coaugmentations.*

We now state a sufficient condition for  $\mu$  and  $(\mu^+)'$  to be equivalent:

Let  $\mu: \mathcal{S}_* \rightarrow \mathcal{S}_*$  be a regular coaugmented functor with values in the category  $\mathcal{G}$  of grouplike spaces (we assume that the base point of a grouplike space is its unit element). For  $X \in \mathcal{S}_*$  let  $* \rightarrow \mu(X)$  be the composition  $* \rightarrow \mu(*) \rightarrow \mu(X)$  and denote by  $*_{\mu(X)} \rightarrow \mu(X)$  the fibration associated to  $* \rightarrow \mu(X)$ .

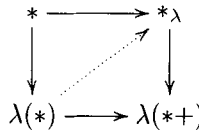
Denote by  $X+ \rightarrow X$  the canonical map in  $\mathcal{S}_*$  (taking  $+$  to the basepoint of  $X$ ) and by  $\hat{F}$  the pullback of  $*_{\mu(X)} \rightarrow \mu(X)$  and  $\mu(X+) \rightarrow \mu(X)$ . The universal property of pullbacks gives a factorization of  $\mu(*+) \rightarrow \mu(X+)$ :



Since the homotopy fibre  $F$  of  $\mu(X+) \rightarrow \mu(X)$  over  $*$  is equivalent to  $\hat{F}$ , we can view  $\sigma_1$  as a map into  $F$ .

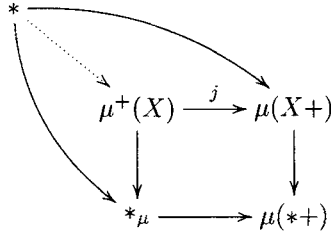
**PROPOSITION 4:** *Using the notation above suppose that  $\sigma_1: \mu(*+) \rightarrow F$  is a weak equivalence and  $\pi_0(\mu(X+)) \rightarrow \pi_0(\mu(X))$  is surjective. Then the composite  $\mu^+(X) \rightarrow \mu(X+) \rightarrow \mu(X)$  is a weak equivalence.*

*Proof of Proposition 3:* In the following square,  $* \rightarrow \lambda(*)$  is a weak equivalence and a cofibration and  $*_{\lambda} \rightarrow \lambda(*+)$  a fibration. Therefore there exists the dashed arrow making the diagram commutative:



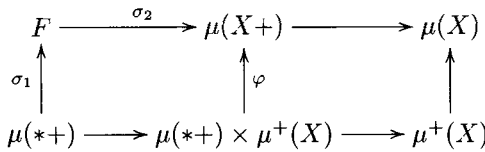
The result follows from the definition of  $(\lambda')^+$  as a pullback and the existence of a factorization of the composite  $\lambda(X) \rightarrow \lambda(X+) \rightarrow \lambda(*+)$  as  $\lambda(X) \rightarrow \lambda(*) \rightarrow \lambda(*+)$ . ■

*Proof of Proposition 4:* First we look at the different base points. The universal property of pullbacks gives a factorization of some canonical maps:



Therefore  $\mu^+(X) \in \mathcal{S}_*$  and  $j(*) = *$ . Note also that the canonical map  $(X+ \rightarrow X) \in \mathcal{S}_*$  induces  $(\mu(X+) \rightarrow \mu(X)) \in \mathcal{G}$ , with neutral element  $+$  (resp.  $*$ ) in  $\mu(X+)$  (resp.  $\mu(X)$ ).

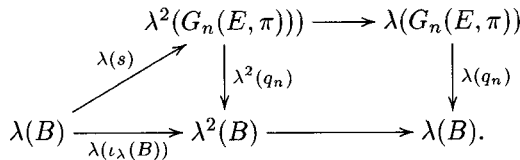
The map  $(\mu(X+) \rightarrow \mu(*+)) \in \mathcal{G}$  admits a section up to homotopy  $\sigma = \sigma_2 \circ \sigma_1$  which gives a homotopy equivalence  $\varphi: \mu(*+) \times \mu^+(X) \rightarrow \mu(X+)$ ,  $(\alpha, \beta) \mapsto \sigma_2(\sigma_1(\alpha)) \cdot *^{-1} \cdot j(\beta)$ . The result follows now from the five lemma applied to the following homotopy commutative diagram of homotopy fibrations:



with  $\mu(*+) \rightarrow \mu(*+) \times \mu^+(X)$ ,  $\alpha \mapsto (\alpha, *)$ . ■

We end this section with the

*Proof of Proposition 1:* This follows directly from the following diagram



The left triangle homotopy commutes because  $\lambda(q_n) \circ s \simeq \iota_\lambda(B)$  and  $\lambda$ , preserving weak equivalences, preserves the homotopy relation. ■

**2. Specific constructions:**  $\Omega^n \Sigma^n, \Omega^\infty \Sigma^\infty, Sp^\infty$

*Example 1:* The functor  $Q = \Omega^\infty \Sigma^\infty$  satisfies the assumptions of Proposition 4. In fact let  $X \in \mathcal{S}_*$ . The homotopy groups of  $Q(X)$  constitute a reduced homology theory. From the cofibration sequence  $(*+) \rightarrow (X+) \rightarrow X$  (which admits a retraction  $(X+) \rightarrow (*+)$ ) we deduce that the homotopy sequence of  $Q(*+) \rightarrow Q(X+) \rightarrow Q(X)$  decomposes into split short exact sequences. Therefore  $Q^+(X) \rightarrow Q(X)$  is a homotopy equivalence. We also note that this statement is a particular case of [BE74, Corollary 7.4].

*Example 2:* Let  $R$  be a commutative ring with unit 1. For  $X \in \mathcal{S}$  denote by  $R \odot X$  the free  $R$ -module generated by  $X$ . If  $X \in \mathcal{S}_*$  we define  $M_R(X) := R \otimes X / R \otimes *$ . For  $R = \mathbb{Z}$  we obtain in particular  $M(X)$ . Proposition 4 applies to  $M_R$  and  $M^+(X)$  is the simplicial set with  $n$ -simplices the finite linear combinations  $\sum r_i \sigma_i$  of  $n$ -simplices of  $X$  with  $\sum r_i = 1$ . This basepoint free version of  $M_R(X)$  occurs for example in [BK72]. If  $X \in \mathcal{S}_*$  is connected  $M(X)$  coincides with the infinite symmetric product  $Sp^\infty(X)$ .

Remark that  $Q^+$  and  $M_R$  are examples of triples.

*Example 3:* For the construction of a basepoint free version  $Q^n$  of  $\Omega^n \Sigma^n: \mathcal{S}_* \rightarrow \mathcal{S}_*$  we refer to Appendix B. We remark that the basepoint free construction  $P^n = (\Omega^n \Sigma^n)^+$  is not homotopically equivalent to  $Q^n$ .

*Proof of Theorem 1:* Property 1 is the key point for the comparison between two invariants: we need only to exhibit natural transformations compatible with the coaugmentations.

- There is a natural transformation  $Q \rightarrow M_{\mathbb{Z}}$  compatible with the coaugmentations (see, e.g., [CM95, 7.3]). An easy way to construct it is to use the combinatorial model of Barratt and Eccles [BE74]. Thus we have  $Qcat_G(\pi) \geq M_{\mathbb{Z}}cat_G(\pi)$ .
- If  $m \leq n$  the natural transformation  $Q^m \rightarrow Q^n$  in *Top* gives  $Q^m cat_G(\pi) \geq Q^n cat_G(\pi)$ ; cf. Appendix B.
- From Proposition 3 we get a natural transformation  $Q^n \rightarrow ((Q^n)')^+$ . By Appendix B there is a natural transformation  $(Q^n)' \rightarrow \Omega^n \Sigma^n$ ; thus composing  $Q^n \rightarrow ((Q^n)')^+ \rightarrow (\Omega^n \Sigma^n)^+$  provides a natural transformation  $Q^n \rightarrow P^n$ .
- The inequality  $P^n cat_G(\pi) \geq Qcat_G(\pi)$  comes from the natural transformation  $\Omega^n \Sigma^n \rightarrow \Omega^\infty \Sigma^\infty$ .
- The existence of a homotopical section to  $M(q_n)$  could be chosen as a definition for Toomer's invariant. Therefore  $Mcat(X) \geq e_M(X)$  is a direct consequence of Proposition 1.

- The remaining inequality  $cat_G(\pi) \geq Q^n cat_G(\pi)$  is obvious. ■

### 3. Product formulae

The proof of Theorem 2 will be based on the following result of [SS99]: Let  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  be a regular coaugmented functor. If there is a natural transformation  $\lambda(Y) \times \lambda(Z) \rightarrow \lambda(Y \times Z)$  which is compatible with the coaugmentations, then the product formula  $\lambda cat(Y \times Z) \leq \lambda cat(Y) + \lambda cat(Z)$  holds. The corresponding formula for  $\lambda_b cat$  follows even more easily.

**PROPOSITION 5:** *Suppose that  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  is coaugmented by  $\iota_\lambda: id \rightarrow \lambda$ . Assume that there are natural transformations  $\lambda(Y) \times Z \rightarrow \lambda(Y \times Z)$  and  $m: \lambda^2 \rightarrow \lambda$  which are compatible with the coaugmentations.*

*Then there is a natural transformation  $\lambda(Y) \times \lambda(Z) \rightarrow \lambda(Y \times Z)$ .*

*Proof:* The transformation consists of the composition  $\lambda(Y) \times \lambda(Z) \rightarrow \lambda(Y \times \lambda(Z)) \rightarrow \lambda^2(Y \times Z) \rightarrow \lambda(Y \times Z)$ . ■

*Remark:* If  $\lambda$  is as in Proposition 1, then there exists  $m$  with  $m \circ \iota_\lambda(d(Y)) = id_{\lambda(Y)}$  for  $Y \in \mathcal{S}$ .

**COROLLARY 1:** *Let  $\mu: \mathcal{S}_* \rightarrow \mathcal{S}_*$  be coaugmented such that there exist natural transformations  $\mu(X) \times X' \rightarrow \mu(X \times X')$  and  $\mu^2(X) \rightarrow \mu(X)$  compatible with the coaugmentations.*

*Then there is a natural transformation  $\mu^+(Y) \times \mu^+(Z) \rightarrow \mu^+(Y \times Z)$  of functors  $\mathcal{S} \times \mathcal{S} \rightarrow \mathcal{S}$ .*

*Proof:* Let  $\bar{\mu}(Y) = \mu(Y+)$  for  $Y \in \mathcal{S}$ . Then it suffices to show that  $\bar{\mu}$  satisfies the assumptions on  $\lambda$  of Proposition 5.

(a)  $\bar{\mu}(Y) \times Z = \mu(Y+) \times Z \rightarrow \mu(Y+) \times (Z+) \rightarrow \mu((Y+) \times (Z+)) \rightarrow \mu((Y \times Z)+) = \bar{\mu}(Y \times Z)$ . The last arrow is induced by the canonical map  $(Y+) \times (Z+) \rightarrow (Y \times Z)+$ .

(b)  $\bar{\mu}^2(Y) = \mu((\mu(Y+))+) \rightarrow \mu(\mu(Y+)) \rightarrow \mu(Y+) = \bar{\mu}(Y)$ . The first arrow is induced by the map  $(\mu(Y+))+ \rightarrow \mu(Y+)$  which is the identity on  $\mu(Y+)$  and maps  $+$  to the basepoint  $+\in \mu(Y+)$ . ■

*Proof of Theorem 2:* We need only to observe from Proposition 8 of Appendix B that the basepoint free versions of  $Q$  and  $\Omega^n \Sigma^n$  satisfy the assumptions of Proposition 5.

The combinatorial models  $\Gamma$  for  $\Omega^\infty \Sigma^\infty$  of [BE74] and  $\Gamma^n$  for  $\Omega^n \Sigma^n$  of [Smi89] are convenient too. It has been shown directly in [BE74] that  $\Gamma$  in particular satisfies the assumptions of Corollary 1. A close look at the combinatorial details shows that this is also true for  $\Gamma^n \subset \Gamma$ . Thus the functor  $P^n$  satisfies the conditions of Proposition 5.

For  $\mu = \Omega^n \Sigma^n$  we can also argue topologically. The second transformation needed in Corollary 1 exists for  $\mu$  but —perhaps— not the first one. However, we show that  $\bar{\mu}$  admits a natural transformation  $\bar{\mu}(Y) \times Z \rightarrow \bar{\mu}(Y \times Z)$  compatible with the coaugmentations. It follows that  $\mu^+$  (hence  $P^n$ ) is a functor as in Proposition 5.

To give the required formula we write  $\Sigma^n(Y+) = S^n \wedge (Y+) = S^n \rtimes Y$  where  $\rtimes: \mathcal{S}_* \times \mathcal{S} \rightarrow \mathcal{S}_*$  is the halfsmash. Then we have

$$\Sigma^n((Y \times Z)+) = S^n \rtimes (Y \times Z) = (S^n \rtimes Y) \rtimes Z.$$

We define  $\Phi: \Omega^n \Sigma^n(Y+) \times Z \rightarrow \Omega^n \Sigma^n((Y \times Z)+)$  by  $\Phi(w, z)(t) = [w(t), z]$  where  $w: S^n \rightarrow \Sigma^n(Y+)$ ,  $t \in S^n$ , and  $[w(t), z]$  denotes the class of  $(w(t), z)$  in  $(S^n \rtimes Y) \rtimes Z$ .

For the localization functor,  $L_f$ , we observe [DF96, pages 21–23] the existence of a natural transformation  $L_f(Y) \times Z \rightarrow L_f(Y \times Z)$  which gives a natural transformation  $L_f(Y) \times L_f(Z) \rightarrow L_f L_f(Y \times Z)$ . The coaugmentation induces a weak equivalence  $L_f \rightarrow L_f L_f$  and we deduce  $L_f \text{cat}(Y \times Z) = L_f L_f \text{cat}(Y \times Z) \leq L_f \text{cat}(Y) + L_f \text{cat}(Z)$ . ■

#### 4. Hopf invariants

Let  $X \in \mathcal{S}_*$  and  $\alpha: S^r \rightarrow X$  be a map with cofibre  $Y = X \cup_\alpha e^{r+1}$ .

We will characterize the relationship between the different  $\lambda$  LS-type invariants of  $X$  and  $Y$  in terms of a homotopy class associated to  $\alpha$  and called a **Hopf invariant**. We use the presentation of [Iwa98]. For  $\lambda = id$  this coincides with the Bernstein–Hilton definition [BH60] (see [Van98, Proposition 3.2.7] for a detailed proof). In this section we will make no distinction between maps and (pointed) homotopy classes of maps.

4.1. DEFINITION AND PROPERTIES. Consider first the adjoint  $\alpha^\sharp: S^{r-1} \rightarrow \Omega X$  of  $\alpha$  whose suspension gives a homotopy class  $\Sigma \alpha^\sharp: S^r \rightarrow \Sigma \Omega X$  into the first Ganea space associated to  $X$ . By composition with the maps  $\kappa_n^X: \Sigma \Omega X \rightarrow G_n(X)$  coming from the construction of the Ganea fibrations we have maps  $\kappa_n^X \circ \Sigma \alpha^\sharp: S^r \rightarrow G_n(X)$ . We work with the absolute case and the situation

described in the introduction becomes:

$$\begin{array}{ccccc}
 F_n(X) & \longrightarrow & \lambda(F_n(X)) & \longrightarrow & F_n^\lambda(X) \\
 \downarrow i_n & & \downarrow & & \downarrow \\
 S^r & \xrightarrow{\kappa_n^X \circ \Sigma\alpha^\sharp} & G_n(X) & \xrightarrow{\iota_{\bar{\lambda}}(G_n(X))} & \bar{\lambda}(G_n(X)) & \xrightarrow{r_{\bar{\lambda}}(G_n(X))} & \lambda(G_n(X)) \\
 \parallel & & \downarrow q_n^X & \curvearrowright \tau & \downarrow \bar{\lambda}(q_n^X) & \curvearrowright \sigma & \downarrow \lambda(q_n^X) \\
 S^r & \xrightarrow{\alpha} & X & \xlongequal{\quad} & X & \xrightarrow{\iota_\lambda(X)} & \lambda(X) \\
 & & & & & \nearrow s & \\
 & & & & & & \lambda(G_n(X))
 \end{array}$$

Recall that  $\iota_\lambda(G_n(X)) = r_{\bar{\lambda}}(G_n(X)) \circ \iota_{\bar{\lambda}}(G_n(X))$ .

**Definition 4:** (1) Suppose that  $\bar{\lambda}(q_n^X): \bar{\lambda}(G_n(X)) \rightarrow X$  admits a homotopical section  $\sigma$ . Then the **Hopf-invariant associated to**  $(\sigma, \lambda, \alpha)$  is:

$$\mathcal{H}'_{\sigma,\lambda}(\alpha) := (\iota_{\bar{\lambda}}(G_n(X)) \circ \kappa_n^X \circ \Sigma\alpha^\sharp) - (\sigma \circ \alpha) \in \pi_r(\bar{\lambda}(G_n(X))).$$

(2) Suppose there exists  $s: X \rightarrow \lambda(G_n(X))$  such that  $\lambda(q_n^X) \circ s \simeq \iota_\lambda(X)$ . Then the **Hopf-invariant associated to**  $(s, \lambda, \alpha)$  is:

$$\mathcal{H}_{s,\lambda}(\alpha) := (\iota_\lambda(G_n(X)) \circ \kappa_n^X \circ \Sigma\alpha^\sharp) - (s \circ \alpha) \in \pi_r(\lambda(G_n(X))).$$

**Remark:** Consider  $\beta: S^t \rightarrow S^r$  a coH-map (for instance, a suspension) and  $\alpha: S^r \rightarrow X$ . Directly from Definition 4 we have  $\mathcal{H}_{\sigma,\lambda}(\alpha \circ \beta) = \mathcal{H}_{\sigma,\lambda}(\alpha) \circ \beta$  and  $H_{\sigma,\lambda}(\alpha \circ \beta) = H_{\sigma,\lambda}(\alpha) \circ \beta$ .

The element  $\mathcal{H}'_{\sigma,\lambda}(\alpha) \in \pi_r(\bar{\lambda}(G_n(X)))$  lifts in the fibre as an element denoted by  $\mathcal{H}_{\sigma,\lambda}(\alpha) \in \pi_r(\lambda(F_n(X)))$  and there is no indeterminacy in this lifting because  $\lambda(F_n(X)) \rightarrow \bar{\lambda}(G_n(X))$  induces an injection between homotopy groups. Notice that we are distinguishing between  $\mathcal{H}_{\sigma,\lambda}$  and  $\mathcal{H}'_{\sigma,\lambda}$ . We do this because though  $\mathcal{H}'_{\sigma,\lambda}$  always determines  $\mathcal{H}_{\sigma,\lambda}$ ,  $\iota_\lambda(G_n(X))_* \circ \mathcal{H}'_{\sigma,\text{id}}$  does not determine  $\iota_\lambda(G_n(X))_* \circ \mathcal{H}_{\sigma,\text{id}}$ . This turns out to be one source of examples where the invariants we study differ; cf. Corollary 2.

We consider the classical Hopf invariant of Bernstein–Hilton [BH60] as a particular case of  $\mathcal{H}_{\sigma,\lambda}$  for  $\lambda = \text{id}$  and use, in this case, the notation  $\mathcal{H}_\sigma$  (or  $H_\sigma$ ). If there is a unique homotopy class of section we shorten the notation in  $\mathcal{H}$  (or  $H$ ).

The LS-category of the skeleton of a non-contractible CW-complex is always less than or equal to the LS-category of the total space [Sta00]. This property can be extended to the setting of  $\lambda\text{cat}$  as follows:

**THEOREM 3:** *Let  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  be a regular coaugmented functor preserving  $k$ -equivalences for any  $k > 0$ . Let  $X$  be a  $(k - 1)$ -connected CW-complex and  $X^{(r)}$  be its  $r$ -skeleton. We suppose  $r \geq k$ , ( $k \geq 2$  and  $n \geq 1$ ) or ( $k = 1$  and  $n \geq 2$ ).*

*For any section  $\sigma$  of  $\bar{\lambda}(q_n^X): \bar{\lambda}(G_n(X)) \rightarrow X$ ,  $n \geq 1$ , there exists a compatible section  $\sigma_r$  of  $\bar{\lambda}(G_n(X^{(r)})) \rightarrow X^{(r)}$ . In other words the following diagram commutes:*

$$\begin{array}{ccc} \bar{\lambda}(G_n(X^{(r)})) & \longrightarrow & \bar{\lambda}(G_n(X)) \\ \downarrow \sigma_r & \nearrow & \downarrow \sigma \\ X^{(r)} & \longrightarrow & X \end{array}$$

*As a consequence, if  $X$  is simply connected and  $\text{cat}(X) \geq 1$  or  $X$  is connected and  $\text{cat}(X) \geq 2$ , we have  $\lambda \text{cat}(X^{(r)}) \leq \lambda \text{cat}(X)$ , for any  $r \geq k$ .*

We show now that the Hopf invariant characterizes in a certain way the growth of the LS-category when a cell is attached to a CW-complex. The following theorem generalizes results of [BH60], [Iwa98], [Sta00] and [Van98]:

**THEOREM 4:** *Let  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  be a regular coaugmented functor and  $X$  be a connected space of associated Ganea fibration  $q_n^X: G_n(X) \rightarrow X$ . Consider  $\alpha: S^r \rightarrow X$ . Denote by  $Y = X \cup_{\alpha} e^{r+1}$  the space  $X$  with a cell attached along  $\alpha$  and by  $\rho: X \rightarrow Y$  the canonical inclusion.*

(1) *If there is some homotopy section  $\sigma$  of  $\bar{\lambda}(q_n^X)$  such that  $\mathcal{H}_{\sigma, \lambda}(\alpha) = 0$  then  $\lambda \text{cat}(Y) \leq n$ .*

(2) *We suppose  $n > 1$  or  $X$  simply connected. If  $\lambda$  preserves  $(r+1)$ -equivalences,  $r > 1$  and  $\dim X \leq r$  then:  $\lambda \text{cat}(Y) \leq n$  iff there exists a homotopy section  $\sigma$  of  $\bar{\lambda}(q_n^X)$  such that  $\mathcal{H}_{\sigma, \lambda}(\alpha) = 0$ .*

(3) *Suppose that  $\lambda$  is a regular coaugmented functor equipped with a natural transformation  $\lambda^2 = \lambda \circ \lambda \rightarrow \lambda$  whose composition with  $\lambda(\iota_{\lambda})$  is equal to the identity  $\lambda \rightarrow \lambda^2 \rightarrow \lambda$ . If there exists  $s: X \rightarrow \lambda(G_n(X))$  such that  $\lambda(q_n^X) \circ s \simeq \iota_{\lambda}(X)$  and  $H_{s, \lambda}(\alpha) = 0$  then  $\lambda_b \text{cat}(Y) \leq n$ .*

The hypothesis required on  $\lambda$  in the statements (2) and (3) are satisfied by the functors  $Q^n, P^n, Q, M = Sp^{\infty}$ .

Suppose there exists a natural transformation  $\mathcal{L}: \lambda_1 \rightarrow \lambda_2$  compatible with the coaugmentations between two regular coaugmented functors. If  $\sigma_1$  is a homotopical section of  $\bar{\lambda}_1(q_n^X)$  we define a homotopical section of  $\bar{\lambda}_2(q_n^X)$  by  $\sigma_2 := \mathcal{L}(G_n(X)) \circ \sigma_1$  and we have  $\mathcal{H}'_{\sigma_2, \lambda_2} = \mathcal{L}(G_n(X)) \circ \mathcal{H}'_{\sigma_1, \lambda_1}$ . We may also define a lifting  $s_1$  from  $\sigma_1$  and the Hopf invariant  $H_{s_1, \lambda_1}$  is obtained from  $\mathcal{H}'_{\sigma_1, \lambda_1}$

by composition with  $\bar{\lambda}_1(G_n(X)) \rightarrow \lambda_1(G_n(X))$ . These considerations and Theorem 4 give us directly a relationship between the different Hopf invariants associated to our functors:

**COROLLARY 2:** *Let  $X$  be a simply connected space of LS-category  $n$  with a section  $\tau: X \rightarrow G_n(X)$  to the Ganea fibration  $q_n^X$ . Let  $\alpha: S^r \rightarrow X$  and  $Y = X \cup_\alpha e^{r+1}$ . Denote by  $\mathcal{H}_\tau(\alpha) \in \pi_r(F_n(X))$  and  $\mathcal{H}'_\tau(\alpha) \in \pi_r(G_n(X))$  the Hopf invariants associated to  $(\tau, \alpha)$  and by  $Hur$  the Hurewicz homomorphism. Then we have:*

- $\Sigma^i \mathcal{H}_\tau(\alpha) = 0 \Rightarrow Q^i cat(Y) \leq n;$
- $\Sigma^i \mathcal{H}'_\tau(\alpha) = 0 \Rightarrow \sigma^i cat(Y) \leq n;$
- $Hur \mathcal{H}_\tau(\alpha) = 0 \Rightarrow Mcat(Y) \leq n;$
- $Hur \mathcal{H}'_\tau(\alpha) = 0 \Rightarrow e(Y) \leq n.$

Coming back to the general situation we will prove that Theorem 4 implies:

**COROLLARY 3:** *Let  $\lambda$  be a regular coaugmented functor and  $\alpha: S^r \rightarrow X$ . Then*

$$\lambda cat(X \cup_\alpha e^{r+1}) \leq \lambda cat(X) + 1.$$

The argument used in the proof of Corollary 3 does not work for  $\lambda_b cat$ . In fact, by [KV00], there is an example  $X$  with  $e(X \cup_\alpha e^{r+1}) = e(X) + 2$ .

We present now some particular results used in the proofs:

**LEMMA 1:** *Consider the situation of Theorem 4 and let  $\bar{\lambda}(G_n(\rho)): \bar{\lambda}(G_n(X)) \rightarrow \bar{\lambda}(G_n(Y))$  and  $\lambda(G_n(\rho)): \lambda(G_n(X)) \rightarrow \lambda(G_n(Y))$  be the maps induced by  $\rho: X \rightarrow Y$ .*

(i) *If  $\bar{\lambda}(q_n^X)$  admits a homotopy section  $\sigma$  we have:*

$$\bar{\lambda}(G_n(\rho)) \circ \sigma \circ \alpha \simeq -(\bar{\lambda}(G_n(\rho)) \circ \mathcal{H}'_{\sigma, \lambda}(\alpha)).$$

(ii) *If  $s$  exists we have:*

$$\lambda(G_n(\rho)) \circ s \circ \alpha \simeq -(\lambda(G_n(\rho)) \circ H_{s, \lambda}(\alpha)).$$

**LEMMA 2:** *Let  $r \geq k \geq 1$ . Let  $B$  be a  $(k - 1)$ -connected CW-complex of dimension  $\leq r$ . Consider the cofibration  $\vee_J S^r \rightarrow B \rightarrow C = B \cup_J e^{r+1}$ . Let  $k \geq 2$  or  $(k = 1$  and  $n \geq 2)$ . Then, for  $n \geq 1$ , the map  $B \rightarrow C$  induces an  $(r + 1)$ -equivalence  $F_n(B) \rightarrow F_n(C)$  between the fibres of Ganea fibrations.*



LEMMA 3: Let  $S^r \xrightarrow{\alpha} B \xrightarrow{\rho} C = B \cup_{\alpha} e^{r+1}$  be a cofibration and  $p: Y \rightarrow C$  be a map such that  $\pi_{r+1}(p)$  is surjective. Let  $\varphi: B \rightarrow Y$  be a map such that  $\varphi \circ \alpha \simeq *$  and  $p \circ \varphi \simeq \rho$ . Then there exists  $\sigma: C \rightarrow Y$  such that  $\sigma \circ \rho \simeq \varphi$  and  $p \circ \sigma \simeq id_C$ .

The end of this section is devoted to proofs beginning with the proofs of the Lemmas.

*Proof of Lemma 1:* By definition we have:

$$\bar{\lambda}(G_n(\rho)) \circ \sigma \circ \alpha = \bar{\lambda}(G_n(\rho)) \circ [-(\mathcal{H}'_{\sigma,\lambda}(\alpha)) + \iota_{\bar{\lambda}}(G_n(X)) \circ \kappa_n^X \circ \Sigma\alpha^{\sharp}].$$

The required equality follows from

$$\bar{\lambda}(G_n(\rho)) \circ \iota_{\bar{\lambda}}(G_n(X)) \circ \kappa_n^X \circ \Sigma\alpha^{\sharp} \simeq \iota_{\bar{\lambda}}(G_n(Y)) \circ \kappa_n^Y \circ \Sigma\Omega\rho \circ \Sigma\alpha^{\sharp} \simeq *.$$

The verification of (ii) is similar. ■

*Proof of Lemma 2:* Observe that the fibre  $F_n(B)$  (resp.  $F_n(C)$ ) having the homotopy type of the iterated join  $*^{n+1}\Omega B$  (resp.  $*^{n+1}\Omega C$ ) implies that it is  $((n+1)k-2)$ -connected. With the assumptions on  $k$  and  $n$ ,  $F_n(B)$  and  $F_n(C)$  are simply connected. A homology argument shows that the induced map  $F_n(B) \rightarrow F_n(C)$  is an  $(nk+r-1)$ -equivalence and thus an  $(r+1)$ -equivalence. ■

*Proof of Lemma 3:* The map  $p$  induces a morphism between the following two long exact sequences coming from the cofibration  $S^r \rightarrow B \rightarrow C$ :

$$\begin{array}{ccccccc} \longrightarrow & [S^{r+1}, Y] & \longrightarrow & [C, Y] & \longrightarrow & [B, Y] & \longrightarrow \\ & \downarrow & & \downarrow & & \downarrow & \\ \longrightarrow & [S^{r+1}, C] & \longrightarrow & [C, C] & \longrightarrow & [B, C] & \longrightarrow \end{array}$$

From  $\varphi \circ \alpha \simeq *$  we deduce the existence of  $\psi: C \rightarrow Y$  such that  $\psi \circ \rho \simeq \varphi$ . The elements  $p \circ \psi$  and  $id_C$  of  $[C, C]$  satisfy  $p \circ \psi \circ \rho \simeq id \circ \rho$ . By a theorem of D. Puppe [Hil67, Theorem 15.4] there exists  $\xi' \in [S^{r+1}, C]$  such that  $(p \circ \psi)^{\xi'} \simeq id_C$  where  $(p \circ \psi)^{\xi'}$  denotes the cooperation of  $\xi'$  on  $p \circ \psi$  induced by the cofibration.

By hypothesis there exists  $\xi \in [S^{r+1}, Y]$  such that  $\xi' \simeq p \circ \xi$ . Set  $\sigma = \psi^{\xi}$ . Then we have  $p \circ \sigma = p \circ (\psi)^{\xi} \simeq (p \circ \psi)^{p \circ \xi} \simeq id_C$ . ■

*Proof of Theorem 3:* Denote by  $i_r: X^{(r)} \rightarrow X$  and  $i'_r: X^{(r-1)} \rightarrow X^{(r)}$  the canonical inclusions and by  $q_{n,r}^X: G_n(X^{(r)}) \rightarrow X^{(r)}$  the Ganea fibration. Let  $\sigma$

be any section of  $\bar{\lambda}(q_n^X)$ . The map  $i_r$  induces a morphism of fibrations between  $\bar{\lambda}(q_{n,r}^X)$  and  $\bar{\lambda}(q_n^X)$  which is an  $r$ -equivalence between the bases and an  $(r + 1)$ -equivalence between the fibres (by Lemma 2 and the hypothesis on  $\lambda$ ). Also the Ganea fibrations split after looping. So with the homotopy long exact sequences we deduce that  $\bar{\lambda}(i_r)$  is an  $r$ -equivalence. Therefore there exists  $\bar{\sigma}$  such that in the following diagram

$$\begin{array}{ccccc}
 & & \bar{\lambda}(G_n(X^{(r)})) & \xrightarrow{\bar{\lambda}(i_r)} & \bar{\lambda}(G_n(X)) \\
 & & \downarrow \bar{\lambda}(q_{n,r}^X) & \nearrow \bar{\sigma} & \downarrow \bar{\lambda}(q_n^X) \\
 X^{(r-1)} & \xrightarrow{i'_r} & X^{(r)} & \xrightarrow{i_r} & X
 \end{array}$$

$\bar{\lambda}(i_r) \circ \bar{\sigma} \simeq \sigma \circ i_r$  and  $\bar{\lambda}(q_{n,r}^X) \circ \bar{\sigma} \circ i'_r \simeq i'_r$ . By Lemma 3 applied to the cofibration  $\vee S^{r-1} \rightarrow X^{(r-1)} \rightarrow X^{(r)}$  we can choose an element  $\xi' \in [\vee S^r, X^{(r)}]$  such that  $(\bar{\lambda}(q_{n,r}^X) \circ \bar{\sigma})^{\xi'} \simeq id$ . Now,  $\pi_r(\bar{\lambda}(q_{n,r}^X))$  being surjective, we can choose  $\xi \in [\vee S^r, \bar{\lambda}(G_n(X^{(r)}))]$  with  $\pi_r(\bar{\lambda}(q_{n,r}^X))(\xi) = \xi'$ . Hence,

$$\bar{\lambda}(q_{n,r}^X) \circ \bar{\sigma}^\xi \simeq (\bar{\lambda}(q_{n,r}^X) \circ \bar{\sigma})^{\xi'} \simeq id.$$

We may homotope  $\bar{\sigma}^\xi$  to a section  $\sigma'_r$  of  $\bar{\lambda}(q_{n,r}^X)$  such that  $\bar{\lambda}(i_r) \circ \sigma'_r \circ i'_r = \sigma \circ i_r \circ i'_r$ . We can therefore find  $\eta'' \in [\vee S^r, \bar{\lambda}(G_n(X))]$  with  $(\bar{\lambda}(i_r) \circ \sigma'_r)^{\eta''} \simeq \sigma \circ i_r$ . From

$$\bar{\lambda}(q_n^X) \circ \bar{\lambda}(i_r) \circ \sigma'_r \simeq \bar{\lambda}(q_n^X) \circ \sigma \circ i_r$$

we deduce that  $\bar{\lambda}(q_n^X) \circ \eta''$  acts trivially on  $\bar{\lambda}(q_n^X) \circ \bar{\lambda}(i_r) \circ \sigma'_r$ . Then the element  $\eta' := \eta'' - \sigma \circ \bar{\lambda}(q_n^X) \circ \eta'' \in [\vee S^r, \lambda(F_n(X))]$  satisfies  $(\bar{\lambda}(i_r) \circ \sigma'_r)^{\eta'} \simeq (\bar{\lambda}(i_r) \circ \sigma'_r)^{\eta''} \simeq \sigma \circ i_r$ . Let  $\eta \in [\vee S^r, \lambda(F_n(X^{(r)}))]$  be an element which is mapped to  $\eta'$  by the map induced by  $\lambda(F_n(X^{(r)})) \rightarrow \lambda(F_n(X))$ ; set  $\sigma_r := (\sigma'_r)^\eta$ . Then  $\sigma_r$  is still a section of  $\bar{\lambda}(q_{n,r}^X)$  with  $\bar{\lambda}(i_r) \circ \sigma_r \simeq \sigma \circ i_r$ . ■

*Proof of Theorem 4:* Suppose that  $\bar{\lambda}(q_n^X)$  admits a section  $\sigma$ . By application of Lemma 1 (i) we get a commutative diagram (up to sign):

$$\begin{array}{ccccc}
 & & \bar{\lambda}(G_n(X)) & & \\
 & \nearrow \mathcal{H}'_{\sigma,\lambda} & & \searrow \bar{\lambda}(G_n(\rho)) & \\
 S^r & \xrightarrow{\alpha} & X & \xrightarrow{\bar{\lambda}(G_n(\rho)) \circ \sigma} & \bar{\lambda}(G_n(Y)) \\
 & & \downarrow \rho & & \downarrow \bar{\lambda}(q_n^Y) \\
 & & Y & \xlongequal{\quad\quad\quad} & Y
 \end{array}$$

1) If  $\mathcal{H}'_{\sigma,\lambda} \simeq *$  we apply Lemma 3 to construct a map  $\sigma': Y \rightarrow \bar{\lambda}(G_n(Y))$  such that  $\bar{\lambda}(G_n(\rho)) \circ \sigma \simeq \sigma' \circ \rho$  and  $\bar{\lambda}(q_n^Y) \circ \sigma' \simeq id_Y$ . By definition we have  $\lambda cat(Y) \leq n$ .

2) Let  $\sigma': Y \rightarrow \bar{\lambda}(G_n(Y))$  be a section of  $\bar{\lambda}(q_n^Y)$ . By Theorem 3 there exists a section  $\sigma$  of  $\bar{\lambda}(q_n^X)$  such that  $\sigma' \circ \rho \simeq \bar{\lambda}(G_n(\rho)) \circ \sigma$ . From the diagram above we deduce immediately that  $\bar{\lambda}(G_n(\rho)) \circ \mathcal{H}'_{\sigma,\lambda} \simeq *$ . This implies that  $\lambda(F_n(\rho)) \circ \mathcal{H}_{\sigma,\lambda} \simeq *$  by injectivity of  $\pi_r(\lambda(F_n(Y))) \rightarrow \pi_r(\bar{\lambda}(G_n(Y)))$  and that  $\mathcal{H}_{\sigma,\lambda} \simeq *$  by Lemma 2 and the hypothesis on  $\lambda$ .

3) Set  $\tilde{\alpha} := \iota_\lambda(X) \circ \alpha: S^r \rightarrow \lambda(X)$  and  $\bar{\alpha} := s \circ \alpha: S^r \rightarrow \lambda(G_n(X))$ . Note that  $\lambda(q_n^X) \circ \bar{\alpha} = \tilde{\alpha}$  and, because of  $H_{s,\lambda}(\alpha) = 0$ ,  $\bar{\alpha} \simeq \iota_\lambda(G_n(X)) \circ \kappa_n \circ \Sigma\alpha^\sharp$ . From naturality of  $\iota_\lambda$  we have  $\lambda(\rho) \circ \bar{\alpha} \simeq *$  and we deduce from Lemma 1 (ii) that  $\lambda(G_n(\rho)) \circ \bar{\alpha} \simeq *$ . The universal property of pushouts and, for the right bottom square, [Van00, Proposition 2.5] give a homotopy commutative diagram (without the dashed arrow):

$$\begin{array}{ccccc}
 S^r & \xrightarrow{\alpha} & X & \longrightarrow & X \cup_{\alpha} e^{r+1} \xlongequal{\quad} Y \\
 \parallel & & \downarrow \iota_\lambda(X) & & \downarrow \iota_\lambda(Y) \\
 S^r & \xrightarrow{\tilde{\alpha}} & \lambda(X) & \longrightarrow & \lambda(X) \cup_{\tilde{\alpha}} e^{r+1} \longrightarrow \lambda(Y) \\
 \parallel & & \uparrow \lambda(q_n^X) & & \uparrow \lambda(q_n^Y) \\
 S^r & \xrightarrow{\bar{\alpha}} & \lambda(G_n(X)) & \longrightarrow & \lambda(G_n(X)) \cup_{\bar{\alpha}} e^{r+1} \longrightarrow \lambda(G_n(Y))
 \end{array}$$

$\tilde{\alpha} \downarrow \tilde{s}_n \downarrow$

where  $\lambda(G_n(X)) \rightarrow \lambda(G_n(X)) \cup_{\bar{\alpha}} e^{r+1} \rightarrow \lambda(G_n(Y))$  is homotopic to  $\lambda(G_n(\rho))$  and  $\lambda(X) \rightarrow \lambda(X) \cup_{\tilde{\alpha}} e^{r+1} \rightarrow \lambda(Y)$  is homotopic to  $\lambda(\rho)$ .

From the hypothesis on  $\lambda$  and Proposition 1 one has a homotopical section  $\bar{s}_n$  to  $\lambda(q_n^X)$ ; a look at its construction gives  $\bar{s}_n \circ \tilde{\alpha} \simeq \bar{\alpha}$ . Denote by  $\bar{\bar{s}}_n$  and  $\tilde{q}_n$  the maps induced by  $\bar{s}_n$  and  $\lambda(q_n^X)$  between the cofibres. The map  $\varphi = \tilde{q}_n \circ \bar{\bar{s}}_n$  induced by  $\lambda(q_n^X) \circ \bar{s}_n \simeq id$  is a homotopy equivalence [Qui67, Section I.3]. By composing  $\bar{\bar{s}}_n$  with  $\varphi^{-1}$  we get a homotopical section  $\tilde{s}_n$  of  $\tilde{q}_n$ . The required homotopy lifting of  $Y \rightarrow \lambda(Y)$  through  $\lambda(q_n^Y)$  is the following composite:

$$X \cup_{\alpha} e^{r+1} \longrightarrow \lambda(X) \cup_{\tilde{\alpha}} e^{r+1} \xrightarrow{\tilde{s}_n} \lambda(G_n(X)) \cup_{\bar{\alpha}} e^{r+1} \longrightarrow \lambda(G_n(Y)). \quad \blacksquare$$

*Proof of Corollary 3:* The triviality of the induced map  $F_n(Y) \rightarrow F_{n+1}(Y)$  implies the triviality of  $\lambda(F_n(Y)) \rightarrow \lambda(F_{n+1}(Y))$  and the image of the Hopf invariant  $\mathcal{H}_{\sigma,\lambda}(\alpha)$  in  $\pi_*(\lambda(F_{n+1}(Y)))$  is zero. As in the beginning of the proof of

Theorem 4 we construct a dashed arrow making commutative

$$\begin{array}{ccc}
 X & \longrightarrow & \bar{\lambda}(G_{n+1}(Y)) \\
 \downarrow & \nearrow & \downarrow \lambda(q_{n+1}^Y) \\
 Y & \xlongequal{\quad} & Y
 \end{array}$$

In other words  $\lambda cat(Y) \leq n + 1$ . ■

4.2. EXAMPLES. We come back to the chain of inequalities of Theorem 1 and exhibit examples of spaces for which a strict inequality occurs (except for  $P^n$  and  $Q^n$ ). For this we will apply Corollary 2.

*Example 4:* We use the notation and results of [Tod62, Proposition 13.9 page 179]. The composite  $\beta := \alpha_1(3) \circ \alpha_1(2p): S^{4p-3} \rightarrow S^{2p} \rightarrow S^3$  is a generator of  $\pi_{4p-3}(S^3) = \mathbb{Z}_p$  such that  $\Sigma\beta \not\approx *$  and  $\Sigma^2\beta \simeq *$ . Denote by  $w: S^4 \rightarrow S^3 \vee S^2$  the Whitehead bracket of the classes  $S^3$  and  $S^2$  and by  $\gamma := w \circ \Sigma\beta: S^{4p-2} \rightarrow S^4 \rightarrow S^3 \vee S^2$ . Set  $X = (S^3 \vee S^2) \cup_\gamma e^{4p-1}$ . Then we claim  $Q^1 cat(X) = 1$  and  $cat(X) = 2$  (cf. also [Sta98] for a different proof of  $cat(X) = 2$ ).

The Hopf invariant of  $\gamma$  satisfies  $\mathcal{H}(\gamma) = \mathcal{H}(w \circ \Sigma\beta) = \mathcal{H}(w) \circ \Sigma\beta$ . Therefore  $\Sigma\mathcal{H}(\gamma) \simeq *$  and  $Q^1 cat(X) = 1$  by Corollary 2. We are now reduced to proving that  $\mathcal{H}(\gamma)$  is not trivial. Denote by  $f^\sharp$  the adjoint of a map  $f$  and observe that  $\mathcal{H}(\gamma)^\sharp = \mathcal{H}(w)^\sharp \circ \beta$ . The non-triviality of  $\mathcal{H}(\gamma)$  is a consequence of the following lemma. It is certainly well known but we cannot find it in the literature.

LEMMA 4: Let  $i, j \geq 2$ . Let  $w_{i,j}: S^{i+j-1} \rightarrow S^i \vee S^j$  be the Whitehead bracket of the canonical inclusions  $\eta^i: S^i \hookrightarrow S^i \vee S^j$ ,  $\eta^j: S^j \hookrightarrow S^i \vee S^j$ . Denote by  $F_{i,j}$  the homotopy fibre of the first Ganea fibration associated to  $S^i \vee S^j$ . The Hopf invariant associated to  $w_{i,j}$  has for adjoint a map  $\mathcal{H}(w_{i,j})^\sharp: S^{i+j-2} \rightarrow \Omega F_{i,j}$ .

Then there exists a map  $\bar{p}: \Omega F_{i,j} \rightarrow \Omega S^{i+j-1}$  such that the adjoint of  $\bar{p} \circ \mathcal{H}(w_{i,j})^\sharp$  is a map of degree  $\pm 1: S^{i+j-1} \rightarrow S^{i+j-1}$ .

*Proof:* By the Hilton–Milnor theorem [Whi78, page 515]:

$$\Omega(S^i \vee S^j) \simeq \Omega S^i \times \Omega S^j \times \Omega S^{i+j-1} \times \dots$$

Recall that  $w_{i,j}^\sharp$  is constructed using the commutator of  $S^{i-1} \rightarrow \Omega S^i \rightarrow \Omega(S^i \vee S^j)$  and  $S^{j-1} \rightarrow \Omega S^j \rightarrow \Omega(S^i \vee S^j)$ ; the extension of  $w_{i,j}^\sharp: S^{i+j-2} \rightarrow \Omega(S^i \vee S^j)$  to  $\Omega \Sigma S^{i+j-2}$  is the inclusion  $\Omega S^{i+j-1} \rightarrow \Omega(S^i \vee S^j)$  in the above decomposition. Note that there is one homotopy section of  $\Sigma \Omega(S^i \vee S^j) \rightarrow S^i \vee S^j$  up to homotopy.

It follows that there is a map  $\bar{p}: \Omega F_{i,j} \rightarrow \Omega S^{i+j-1}$  with the adjoint of  $\bar{p} \circ \mathcal{H}(w_{i,j})^\sharp$  a map of degree  $\pm 1: S^{i+j-1} \rightarrow S^{i+j-1}$ . ■

*Example 5:* Let  $\beta: S^\bullet \rightarrow S^3$  such that  $\Sigma^{2n}\beta \not\cong *$  and  $\Sigma^{2n+1}\beta \simeq *$  [Gra84, Theorem 12] or [Sta00, Corollary 9.2]. Denote by  $w: S^4 \rightarrow S^3 \vee S^2$  the Whitehead bracket of the classes  $S^3$  and  $S^2$  and let  $\gamma := w \circ \Sigma\beta$ . Set  $X = (S^3 \vee S^2) \cup_\gamma e^{\bullet+2}$ . The method used in Example 4 gives  $Q^{2n}cat(X) = 1$  and  $Q^{2n-1}cat(X) = 2$ .

The existence of  $\beta: S^\bullet \rightarrow S^4$  such that  $\Sigma^{2n-1}\beta \not\cong *$  and  $\Sigma^{2n}\beta \simeq *$  allows with the same process the construction of a space  $X = (S^3 \vee S^3) \cup_\gamma e^{\bullet+2}$  such that  $Q^{2n-1}cat(X) = 1$  and  $Q^{2n-2}cat(X) = 2$ .

We remark that the examples  $X = Q_p, p > 2$ , of N. Iwase [Iwa98] satisfy  $2 = cat(X) = Q^1cat(X) > Q^2cat(X) = 1$ . As for  $X = Q_2$  of [Iwa98], it is such that  $2 = cat(X) > Q^1cat(X) = 1$ .

*Example 6:* For any  $n \geq 1$  we denote by  $X(n)$  a CW-complex which satisfies, as in Example 5,  $Q^{2n-1}cat(X(n)) = 1, Q^{2n-2}cat(X(n)) = 2$  (by convention:  $Q^0cat = cat$ ). Set  $Y = \bigvee_{n \geq 1} X(n)$  and observe that  $Y$  (resp.  $Y \times S^r$ ) dominates  $X(n)$  (resp.  $X(n) \times S^r$ ). We deduce from Corollary 2 and from [Iwa97] that  $Y$  is an **infinite** CW-complex such that  $Qcat(Y) = 1, cat(Y) = 2$  and  $cat(Y \times S^r) = cat(Y) + 1$  for any  $r \geq 1$ . This justifies the restriction to a **finite** complex in Problem 2.

*Example 7:* Denote by  $\alpha_1(3) \in \pi_{2p}(S^3)$  a generator of the  $p$ -component and by  $w: S^4 \rightarrow S^2 \vee S^3$  the Whitehead bracket. We deduce from Lemma 4 that  $Q\mathcal{H}(w \circ \Sigma\alpha_1(3)) \not\cong *$  and  $\text{Hur}\mathcal{H}(w \circ \Sigma\alpha_1(3)) \simeq *$ . Therefore the space  $X = (S^2 \vee S^3) \cup_{w \circ \Sigma\alpha_1(3)} e^{2p+2}$  satisfies  $Qcat(X) = 2$  and  $Mcat(X) = 1$ .

We address now the relation between  $\sigma cat$  and  $Qcat$ .

*Example 8: (The Lemaire–Sigrist example revisited.)* Denote by  $w: S^5 \rightarrow \mathbb{C}P^2$  the attaching map of the top cell of  $\mathbb{C}P^3$  and by  $\gamma: S^6 \rightarrow \mathbb{C}P^2 \vee S^2$  the Whitehead bracket of  $w$  and  $S^2$ . Set  $Z = (\mathbb{C}P^2 \vee S^2) \cup_\gamma e^7$ . We claim that  $Qcat(Z) = 3$  and  $\sigma cat(Z) = \sigma^1cat(Z) = e(Z) = 2$ .

Observe that the rationalized space  $Z_0$  satisfies  $cat(Z_0) = Qcat(Z_0) = 3$  and  $\sigma cat(Z_0) = e(Z_0) = 2$ , [LS81]. We deduce that  $3 \geq cat(Z) \geq Qcat(Z) \geq Qcat(Z_0) = 3$ .

Consider the first Ganea space  $G_1(X)$  associated to  $X := \mathbb{C}P^2 \vee S^2$ . From the decomposition  $\Omega(\mathbb{C}P^2) \simeq S^1 \times \Omega(S^5)$ , from B. Gray’s formula [Gra71], and standard properties of  $\Sigma$  and  $\Omega$  we see that  $G_1(X)$  is a wedge of spheres. Among

them we have  $S^2_{(1)}$  corresponding to a generator of  $\pi_2(\mathbb{C}P^2) = \mathbb{Z}$ ,  $S^5$  corresponding to a generator of  $\pi_5(\mathbb{C}P^2) = \mathbb{Z}$  and  $S^2$ . So we have a homotopy equivalence  $G_1(X) \simeq S^2_{(1)} \vee S^5 \vee S^2 \vee \vee_i S^{n_i}$ .

Let  $\iota_1: S^2_{(1)} \rightarrow G_1(X)$ ,  $\iota: S^2 \rightarrow G_1(X)$  and  $\iota_5: S^5 \rightarrow G_1(X)$  be the canonical inclusions. Let  $\eta: S^3 \rightarrow S^2$  be the Hopf map. Then  $q_1^X \circ \iota_1 \circ \eta$  is nullhomotopic and hence  $\iota_1 \circ \eta$  is killed by the map  $G_1(X) \rightarrow G_2(X)$ . Hence we can find a section  $X \rightarrow G_2(X)$ . By  $G_1(X) \rightarrow G_1(Z)$  the homotopy class of  $[\iota_5, \iota]$  is mapped to an element  $\tilde{\gamma}$  of  $\text{kernel}(\pi_*(q_1^Z))$ . Therefore  $\tilde{\gamma}$  will be killed by  $G_1(X) \rightarrow G_2(Z)$ . Since  $\Sigma\gamma$  and  $\Sigma\tilde{\gamma}$  are both nullhomotopic, we can find a section  $\Sigma Z \rightarrow \Sigma G_2(Z)$ , i.e.,  $\sigma^1 \text{cat}(Z) \leq 2$ .

Since  $2 = \sigma^1 \text{cat}(Z_0) \leq \sigma^1 \text{cat}(Z)$  we get that  $\sigma^1 \text{cat}(Z) = 2$ .

*Remark:* We note that the notion of  $n$ -LS-fibration [ST97] does not allow an efficient use of Hopf invariants. For instance, the fact that  $\text{id}_{S^3}: S^3 \rightarrow S^3$  is a 1-LS-fibration implies that a 1-LS fibration cannot bring a characterization of the category of  $S^3 \cup_\alpha e^k$ .

**PROPOSITION 6:** *For any space with two cells Problem 2 has a positive answer.*

*Proof:* Let  $X = S^n \cup_\varphi e^p$ . We may assume  $\text{cat}(X) \geq 1$ . If  $\text{cat}(X) = 1$ , then both statements are false. For  $\text{cat}(X) = 2$  we refer to a result of [Iwa97]:

if  $X = S^n \cup_\varphi e^p$  then  $\text{cat}(X \times S^r) \leq \text{cat}(X)$  iff  $\Sigma^r \mathcal{H}(\varphi) = 0$ . ■

### Appendix A. Dror Farjoun’s construction

In this paragraph we recall a construction from [DF96, Chapter 1.F.2]. Let  $\lambda: \mathcal{S} \rightarrow \mathcal{S}$  be a regular coaugmented functor and  $\pi: E \rightarrow B$  in  $\mathcal{S}$  a fibration. We consider the **simplex category**  $\Delta_B$  defined by:

- its objects are pairs  $(\Delta[n], \sigma)$ ,  $\sigma \in B_n$ ;
- a morphism  $\alpha: (\Delta[n], \sigma) \rightarrow (\Delta[m], \tau)$  is a simplicial map  $\alpha: \Delta[n] \rightarrow \Delta[m]$  such that  $f_{\tau \circ \alpha} = f_\sigma$  where  $f_\sigma: \Delta[n] \rightarrow B$  is the characteristic map of  $\sigma$ .

Denote by  $\tilde{B}: \Delta_B \rightarrow \mathcal{S}$  the forgetful functor determined by  $(\Delta[n], \sigma) \mapsto \Delta[n]$  and let  $\tilde{E}: \Delta_B \rightarrow \mathcal{S}$  be the functor defined by the following pullback:

$$\begin{array}{ccc}
 \tilde{E}(\Delta[n], \sigma) & \longrightarrow & E \\
 \downarrow & & \downarrow \pi \\
 \Delta[n] & \xrightarrow{f_\sigma} & B
 \end{array}$$

The projection  $\tilde{E}(\Delta[n], \sigma) \rightarrow \Delta[n]$  defines a natural transformation  $\tilde{E} \rightarrow \tilde{B}$ . The homotopy colimits (in  $\mathcal{S}$ ) of the functors  $\tilde{B}$ ,  $\lambda \circ \tilde{B}$ ,  $\tilde{E}$  and  $\lambda \circ \tilde{E}$  give a commutative diagram

$$\begin{array}{ccccc} \text{hocolim } \lambda \circ \tilde{E} & \longleftarrow & \text{hocolim } \tilde{E} & \longrightarrow & E \\ \downarrow & & \downarrow & & \downarrow \pi \\ \text{hocolim } \lambda \circ \tilde{B} & \longleftarrow & \text{hocolim } \tilde{B} & \longrightarrow & B \end{array}$$

The functor  $\bar{\lambda}$  is constructed with a homotopy pullback–pushout operation:  $P$  is the homotopy pullback (hpb) and  $\bar{\lambda}(E)$  the homotopy pushout (hpo) defined in the following diagram:

$$\begin{array}{ccccc} & & \text{hocolim } \tilde{E} & \longrightarrow & E \\ & \swarrow & \downarrow & \text{hpo} & \downarrow \\ \text{hocolim } \lambda \circ \tilde{E} & \longleftarrow & P & \longrightarrow & \bar{\lambda}(E) \\ \downarrow & \text{hpb} & \downarrow & & \downarrow \\ \text{hocolim } \lambda \circ \tilde{B} & \longleftarrow & \text{hocolim } \tilde{B} & \longrightarrow & B \end{array}$$

This induces a factorization  $E \rightarrow \bar{\lambda}(E) \rightarrow B$  of  $\pi$ . All diagrams

$$\begin{array}{ccc} \lambda(\tilde{E}(\Delta[m], \tau)) & \xrightarrow{\sim} & \lambda(\tilde{E}(\Delta[n], \sigma)) \\ \downarrow & & \downarrow \\ \lambda(\Delta[m], \tau) & \xrightarrow{\sim} & \lambda(\Delta[n], \sigma) \end{array}$$

are homotopy pullbacks. Hence by [Pup74] this implies:

**PROPOSITION 7** ([DF96, Chapter 1, Theorem F.3]): *For  $b \in B$  let  $F$  be the fibre of  $\pi$  over  $b$  and  $\bar{F}$  the homotopy fibre of  $\bar{\lambda}(E) \rightarrow B$  over  $b$ . Then the induced map  $F \rightarrow \bar{F}$  is naturally equivalent to the coaugmentation  $F \rightarrow \lambda(F)$ .*

**Appendix B. Unpointed version of  $\Omega^n \Sigma^n$**

We now construct an unpointed version  $Q^n: \mathcal{S} \rightarrow \mathcal{S}$  of  $\Omega^n \Sigma^n: \mathcal{S}_* \rightarrow \mathcal{S}_*$  where  $\mathcal{S}$  (resp.  $\mathcal{S}_*$ ) is the convenient category of compactly generated (resp. well pointed compactly generated) spaces. For that we recall first the notion of unpointed suspension:

*Definition 5:* Let  $I = [0, 1]$ . The **unreduced suspension** of  $Y \in \mathcal{S}$  is  $\widetilde{\Sigma}(Y) := (Y \times I) / \sim$ , where  $(y, 0) \sim (y', 0)$  and  $(y, 1) \sim (y', 1)$  for any  $y, y' \in Y$ . By induction we define the  **$n$ -unreduced suspension** of  $Y \in \mathcal{S}$  by  $\widetilde{\Sigma}^n(Y) = \widetilde{\Sigma} \widetilde{\Sigma}^{n-1}(Y)$ .

We will number the coordinates from right to left; i.e., an element of  $\widetilde{\Sigma}^n(Y)$  is an equivalence class denoted by  $[t_n, \dots, t_1, y]$ . Observe that we have a canonical map  $j_n: \partial I^n \rightarrow \widetilde{\Sigma}^n(Y)$ ,  $(t_n, \dots, t_1) \mapsto [t_n, \dots, t_1, y]$  ( $y$  arbitrary).

*Definition 6:* Given  $Y \in \mathcal{S}$  we define  $Q^n(Y)$  as the set of maps  $\omega: I^n \rightarrow \widetilde{\Sigma}^n(Y)$  such that  $\omega|_{\partial I^n} = j_n$ . The map  $c: Y \rightarrow Q^n(Y)$ ,  $y \mapsto c(y)$ ,  $c(y)(t_n, \dots, t_1) = [t_n, \dots, t_1, y]$  is a coaugmentation.

There are bonding maps  $b_n: Q^n \rightarrow Q^{n+1}$  compatible with the coaugmentations given by  $b_n(\omega)(t_{n+1}, \dots, t_1) = [t_{n+1}, \omega(t_n, \dots, t_1)]$  for  $\omega \in Q^n(Y)$ .

Set  $Q(Y) := \varinjlim Q^n(Y)$ .

Note that for  $X \in \mathcal{S}_*$  the canonical map  $\widetilde{\Sigma}^n(X) \rightarrow \Sigma^n(X)$  (where  $\Sigma^n(X)$  is the reduced suspension) is a relative homeomorphism  $(\widetilde{\Sigma}^n(X), \widetilde{\Sigma}^n(*)) \rightarrow (\Sigma^n(X), *)$  and that  $\widetilde{\Sigma}^n(*)$  is contractible. Moreover,  $\widetilde{\Sigma}^n(X) \rightarrow \Sigma^n(X)$  induces a map  $Q^n(X) \rightarrow \Omega^n \Sigma^n(X)$ .

**PROPOSITION 8:** (1) *The canonical map  $Q^n(X) \rightarrow \Omega^n \Sigma^n(X)$  is a homotopy equivalence.*

(2) *For  $Y, Z \in \mathcal{S}$  there is a canonical map  $Q^n(Y) \times Z \rightarrow Q^n(Y \times Z)$  compatible with the coaugmentations.*

(3) *There is a natural transformation  $m: Q^n Q^n \rightarrow Q^n$  such that  $Q^n$  together with  $c$  and  $m$  is a triple.*

*Proof:* (1) Note that for all  $\omega \in Q^n(X)$  the restriction of  $\omega$  to the boundary  $\partial I^n$  is equal to the restriction to  $\partial I^n$  of  $I^n \rightarrow \widetilde{\Sigma}^n(*) \rightarrow \widetilde{\Sigma}^n(X)$ . Thus dividing  $\partial I^{n+1}$  in two halves along an equator  $\partial I^n$  we obtain an element in  $\Omega^n \widetilde{\Sigma}^n(X)$  by  $\omega$  on one half and the composite  $I^n \rightarrow \widetilde{\Sigma}^n(*) \rightarrow \widetilde{\Sigma}^n(X)$  on the other half. This gives an equivalence  $Q^n(X) \rightarrow \Omega^n \widetilde{\Sigma}^n(X)$ . Composing this map with  $\Omega^n \widetilde{\Sigma}^n(X) \rightarrow \Omega^n \Sigma^n(X)$  we obtain the announced equivalence. Note that it is compatible with the bonding maps.

(2) We define  $\eta: Q^n(Y) \times Z \rightarrow Q^n(Y \times Z)$  as follows. For  $\omega \in Q^n(Y)$  write  $\omega(t_n, \dots, t_1) = [\tilde{t}_n, \dots, \tilde{t}_1, \tilde{y}]$ ; then  $\eta(\omega, z)(t_n, \dots, t_1) = [\tilde{t}_n, \dots, \tilde{t}_1, (\tilde{y}, z)]$ . This definition does not depend on the choice of the representative in the class  $\omega(t_n, \dots, t_1)$  (because  $\omega|_{\partial I^n}$  is the fixed canonical map  $j_n$ ). One checks immediately that the map is compatible with the coaugmentations.



(3) We define  $m: Q^n Q^n(Y) \rightarrow Q^n(Y)$  by the following device. Given  $\omega: I^n \rightarrow \widetilde{\Sigma}^n Q^n(Y)$  write as above  $\omega(t_n, \dots, t_1) = [\tilde{t}_n, \dots, \tilde{t}_1, \tilde{\omega}]$  with  $\tilde{\omega} \in Q^n(Y)$ . Then set  $m(\omega)(t_n, \dots, t_1) = \tilde{\omega}(\tilde{t}_n, \dots, \tilde{t}_1)$ . As above this definition does not depend on the choice of representative  $[\tilde{t}_n, \dots, \tilde{t}_1, \tilde{\omega}]$ . A calculation shows that we have obtained a triple. ■

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